### **Public Document Pack**

## **Blackpool** Council

11 May 2021

To: Councillors Benson, Clapham, Collett, Taylor and Wing

The above members are requested to attend the:

#### STANDARDS COMMITTEE

Thursday, 20 May 2021 at 5.30 pm in Meeting Room 3a and 3b, No1 Bickerstaffe Square, Blackpool

#### AGENDA

#### ADMISSION OF THE PUBLIC TO COMMITTEE MEETINGS

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The Head of Democratic Governance has marked with an asterisk (\*) those items where the Committee may need to consider whether the public should be excluded from the meeting as the items are likely to disclose exempt information.

The nature of the exempt information is shown in brackets after the item.

This information is provided for the purpose of this meeting only and must be securely destroyed immediately after the meeting.

#### 1 DECLARATIONS OF INTEREST

Members are asked to declare any interests in the items under consideration and in doing so state:

- (1) the type of interest concerned either a
  - (a) personal interest
  - (b) prejudicial interest
  - (c) disclosable pecuniary interest (DPI)

and

(2) the nature of the interest concerned

If any member requires advice on declarations of interests, they are advised to contact the Head of Democratic Governance in advance of the meeting.

#### 2 MINUTES OF THE LAST MEETING HELD ON 3 DECEMBER 2020

(Pages 1 - 2)

To agree the minutes of the last meeting held on 3 December 2020 as a true and correct record.

3 LOCAL GOVERNMENT ASSOCIATION - VOLUNTARY MODEL CODE OF CONDUCT (Pages 3 - 36)

To consider the Local Government Association Voluntary Model Code of Conduct.

#### \* 4 CODE OF CONDUCT MATTER

(Pages 37 - 62)

To consider a Code of Conduct matter.

(This item contains personal information regarding an individual which is exempt from publication by virtue of Paragraph 1 of Part 1 of Schedule 12A of the Local Government Act 1972.)

#### Venue information:

Third floor meeting room (lift available), accessible toilets, no-smoking building.

#### Other information:

For queries regarding this agenda please contact Lorraine Hurst, Head of Democratic Governance, Tel: (01253) 477127, e-mail lorraine.hurst@blackpool.gov.uk

Copies of agendas and minutes of Council and committee meetings are available on the Council's website at www.blackpool.gov.uk.

## Agenda Item 2

#### MINUTES OF STANDARDS COMMITTEE MEETING - THURSDAY, 3 DECEMBER 2020

Present:				
Councillor Tay	lor (in the Chair)			
Councillors:				
Benson	Clapham	Collett	Wing	

#### In Attendance:

Mark Towers, Director of Governance and Partnerships / Monitoring Officer Lorraine Hurst, Head of Democratic Governance / Deputy Monitoring Officer

#### Also present:

Helen Broughton, Independent Person

#### 1 DECLARATIONS OF INTEREST

There were no declarations of interest.

#### 2 MINUTES OF THE LAST MEETING HELD ON 5 SEPTEMBER 2019

The Committee agreed that the minutes of the meeting held on 5 September 2019 be signed by the Chair as a correct record.

#### **3 STANDARDS UPDATE REPORT**

The Committee received a report from the Monitoring Officer on standards issues since the last meeting including details of allegations received and training that had been held, as well as proposals for further training on social media and conflict resolution.

Members noted the response, following the joint workshop with Fylde Council, to the Local Government Association (LGA) consultation on standards and the development of a draft model Code of Conduct. The Monitoring Officer reported that the LGA had circulated the model Code to local authorities prior to the meeting and that the Code would be considered in due course. It was envisaged that in reviewing the model code this would be undertaken with the Independent persons and Fylde Borough Council.

The Monitoring Officer also advised members that Council in July had passed a resolution of condolence in respect of Mr Tony Mozley who had sadly died earlier in the year and that the recruitment process was underway for the independent person vacancy.

#### Resolved:

- 1. To note the issues raised and the response to the Local Government Association Code of Conduct consultation.
- 2. To support training being provided for elected members on the use of social media and conflict resolution, both to be facilitated by an external provider.

## 4 COMMITTEE ON STANDARDS IN PUBLIC LIFE - LOCAL GOVERNMENT ETHICAL STANDARDS REVIEW UPDATE

The Committee considered a progress report following the recent review of local government and standards by the Committee on Standards in Public Life and to which the Government response was still awaited. Members were aware that a series of best practice recommendations had been considered at the last meeting of the Standards Committee and were provided with an update on how these had been progressed.

Members went on to consider a proposed complaints handling procedure that incorporated recommendations of best practice and which had been developed in conjunction with Fylde Council and the shared Independent Persons.

#### Resolved:

- 1. To note the report of the best practice issues as set out in the appendix to the report.
- 2. To agree the Complaints Handling Process as set out in the appendix to the report.

#### Chair

(The meeting ended at 6.28pm)

Any queries regarding these minutes, please contact: Lorraine Hurst, Head of Democratic Governance

Tel: (01253) 477127

E-mail: lorraine.hurst@blackpool.gov.uk

Report to: STANDARDS COMMITTEE

**Relevant Officer:** Mark Towers, Director of Governance and Partnerships / Monitoring

Officer

**Date of Meeting:** 20<sup>th</sup> May 2021

## LOCAL GOVERNMENT ASSOCIATION – VOLUNTARY MODEL CODE OF CONDUCT

#### 1.0 Purpose of the report:

- To receive and note the voluntary model code of conduct issued by the Local Government Association (LGA) and to undertake a review by way of a workshop with representatives from Fylde Council Audit and Standards Committee, its Monitoring Officer and the three Independent Persons on whether to
  - adopt the LGA Model code it in its entirety,
  - to amend the LGA Model code and update with aspects of the Council's current code, or
  - update the Council's current code as appropriate with parts of the LGA Model code.

#### 2.0 Recommendation(s):

- 2.1 To refer the code to a workshop with the Chair, Vice Chair and an Opposition Member from this committee, representatives from Fylde Borough Council Audit and Standards Committee, its Monitoring Officer and the three Independent Persons on whether to:
  - adopt the LGA Model code it in its entirety,
  - to amend the LGA Model code and update with aspects of the Council's current code, or
  - update the Council's current code as appropriate with parts of the LGA Model code.

and report back to this committee by way of recommendation.

#### 3.0 Reasons for recommendation(s):

- 3.1 To consider the published (voluntary) LGA Model code of conduct for Elected Members.
- 3.2a Is the recommendation contrary to a plan or strategy adopted or approved

by the Council? No

3.2b Is the recommendation in accordance with the Council's approved budget? Yes

3.3 Other alternative options to be considered:

No – the options are set out in 1.1.

#### 4.0 Council Priority:

4.1 This report covers all of the Council's priorities.

#### 5.0 Background Information

- 5.1 The Model Code was produced by the Local Government Association (LGA) following a consultation exercise which ran from the 8th of June to 17th of August 2020. The review was carried out whilst the Government continues to consider the recommendations made by the Committee on Standards in Public Life in their report on Local Government Ethical Standards from January 2019. If the Government chooses to implement any of the recommendations, this could require a change to the proposed Model Code.
- 5.2 At the last meeting of this committee, it was noted that a response on a draft model code of conduct circulated by the LGA, had been made following a joint workshop with representatives from Fylde Borough Council. The Monitoring Officer also reported that the LGA had only just circulated the model Code to local authorities prior to that meeting and that the Code would be considered in due course. It was envisaged that in reviewing the model code this would be undertaken with the three Independent persons and representatives from Fylde Borough Council. Detailed work on this has not been taken forward yet as it was considered that the third independent person should be appointed (which has now happened) and that guidance from the LGA for the Model Code was due to be published (this has not yet happened).
- 5.3 Under the Council's constitution, the Committee's functions include advising the Council on the adoption or revision of the Code of Conduct for Members and playing a key role in upholding standards of behaviour on the part of members of the Council and co-optees as set out in the current Code of Conduct.
- 5.4 The current Code of conduct is familiar to members and the committee will want to take this into account when making recommendations on changes to it and it is considered as there are joint arrangements with Fylde Borough Council regarding standards that a workshop beheld with representatives from their appropriate committee to consider further.
- 5.5 Appendix 3a is the LGA Model Code of Conduct for Members, Appendix 3b is a briefing note from the professional body Lawyers in Local Government (LLG) on the proposed

model code and Appendix 3c is the Council's current code.

- 5.6 LLG has recently undertaken a survey of Monitoring Officers and the findings can be summarised as:
  - 20% have or intend to adopt the model code in full
  - 20% will adopt parts of the model code
  - 55% have decided not to adopt the model code, of which:
    - o 25% will not be adopting the model code nor changing their code
    - 30% are not adopting the model code in full but will make changes to their current code
  - 5% are undecided
- 5.7 Does the information submitted include any exempt information? No
- 5.8 **List of Appendices:**

Appendix 3a: LGA Model Code of Conduct for Members

Appendix 3b: Briefing note from Lawyers in Local Government (LLG) on the proposed LGA

model code

Appendix 3c: Blackpool Council's Current Code of Conduct for Members

- 6.0 Legal considerations:
- The principal statutory provisions relating to standards of conduct are contained in the Localism Act 2011. S.27(1) of the 2011 Act provides that the Council must promote and maintain high standards of conduct by members and co-opted members of the authority. 7.2 S.27 and s.28 of the 2011 Act require the Council to adopt a Code of Conduct consistent with the Nolan principles of good governance and to appoint at least one Independent Person whose views must be sought and taken into account before the Council makes any decision about the alleged breach of the Code that has been investigated.
- 7.0 Human Resources considerations:
- 7.1 There are no direct human resource implications from the recommendations in this item.
- 8.0 Equalities considerations:
- 8.1 None.
- 9.0 Financial considerations:
- 9.1 There are no financial implications associated with this report.

10.0	nisk management considerations.
10.1	None.
11.0	Ethical considerations:
11.1	None.
12.0	Internal/ External Consultation undertaken:
12.1	There has been consultation with the Monitoring Officer at Fylde Borough Counci regarding the proposed way forward.
13.0	Background papers:
13.1	None



## <u>Local Government Association</u> Model Councillor Code of Conduct 2020

#### Joint statement

The role of councillor across all tiers of local government is a vital part of our country's system of democracy. It is important that as councillors we can be held accountable and all adopt the behaviors and responsibilities associated with the role. Our conduct as an individual councillor affects the reputation of all councillors. We want the role of councillor to be one that people aspire to. We also want individuals from a range of backgrounds and circumstances to be putting themselves forward to become councillors.

As councillors, we represent local residents, work to develop better services and deliver local change. The public have high expectations of us and entrust us to represent our local area; taking decisions fairly, openly, and transparently. We have both an individual and collective responsibility to meet these expectations by maintaining high standards and demonstrating good conduct, and by challenging behaviour which falls below expectations.

Importantly, we should be able to undertake our role as a councillor without being intimidated, abused, bullied or threatened by anyone, including the general public.

This Code has been designed to protect our democratic role, encourage good conduct and safeguard the public's trust in local government.

#### Introduction

The Local Government Association (LGA) has developed this Model Councillor Code of Conduct, in association with key partners and after extensive consultation with the sector, as part of its work on supporting all tiers of local government to continue to aspire to high standards of leadership and performance. It is a template for councils to adopt in whole and/or with local amendments.

All councils are required to have a local Councillor Code of Conduct.

The LGA will undertake an annual review of this Code to ensure it continues to be fit-for-purpose, incorporating advances in technology, social media and changes in legislation. The LGA can also offer support, training and mediation to councils and councillors on the application of the Code and the National Association of Local Councils (NALC) and the county associations of local councils can offer advice and support to town and parish councils.

#### **Definitions**

For the purposes of this Code of Conduct, a "councillor" means a member or co-opted member of a local authority or a directly elected mayor. A "co-opted member" is defined in the Localism Act 2011 Section 27(4) as "a person who is not a member of the authority but who

- a) is a member of any committee or sub-committee of the authority, or;
- b) is a member of, and represents the authority on, any joint committee or joint subcommittee of the authority;

and who is entitled to vote on any question that falls to be decided at any meeting of that committee or sub-committee".

For the purposes of this Code of Conduct, "local authority" includes county councils, district councils, London borough councils, parish councils, town councils, fire and rescue authorities, police authorities, joint authorities, economic prosperity boards, combined authorities and National Park authorities.

#### **Purpose of the Code of Conduct**

The purpose of this Code of Conduct is to assist you, as a councillor, in modelling the behaviour that is expected of you, to provide a personal check and balance, and to set out the type of conduct that could lead to action being taken against you. It is also to protect you, the public, fellow councillors, local authority officers and the reputation of local government. It sets out general principles of conduct expected of all councillors and your specific obligations in relation to standards of conduct. The LGA encourages the use of support, training and mediation prior to action being taken using the Code. The fundamental aim of the Code is to create and maintain public confidence in the role of councillor and local government.

#### **General principles of councillor conduct**

Everyone in public office at all levels; all who serve the public or deliver public services, including ministers, civil servants, councillors and local authority officers; should uphold the Seven Principles of Public Life, also known as the Nolan Principles.

Building on these principles, the following general principles have been developed specifically for the role of councillor.

In accordance with the public trust placed in me, on all occasions:

- I act with integrity and honesty
- I act lawfully
- I treat all persons fairly and with respect; and
- I lead by example and act in a way that secures public confidence in the role of councillor.

#### In undertaking my role:

- I impartially exercise my responsibilities in the interests of the local community
- I do not improperly seek to confer an advantage, or disadvantage, on any person
- I avoid conflicts of interest
- I exercise reasonable care and diligence; and
- I ensure that public resources are used prudently in accordance with my local authority's requirements and in the public interest.

#### **Application of the Code of Conduct**

This Code of Conduct applies to you as soon as you sign your declaration of acceptance of the office of councillor or attend your first meeting as a co-opted member and continues to apply to you until you cease to be a councillor.

This Code of Conduct applies to you when you are acting in your capacity as a councillor which may include when:

- you misuse your position as a councillor
- Your actions would give the impression to a reasonable member of the public with knowledge of all the facts that you are acting as a councillor;

The Code applies to all forms of communication and interaction, including:

- at face-to-face meetings
- at online or telephone meetings
- in written communication
- in verbal communication
- in non-verbal communication
- in electronic and social media communication, posts, statements and comments.

You are also expected to uphold high standards of conduct and show leadership at all times when acting as a councillor.

Your Monitoring Officer has statutory responsibility for the implementation of the Code of Conduct, and you are encouraged to seek advice from your Monitoring Officer on any matters that may relate to the Code of Conduct. Town and parish councillors are encouraged to seek advice from their Clerk, who may refer matters to the Monitoring Officer.

#### Standards of councillor conduct

This section sets out your obligations, which are the minimum standards of conduct required of you as a councillor. Should your conduct fall short of these standards, a complaint may be made against you, which may result in action being taken.

Guidance is included to help explain the reasons for the obligations and how they should be followed.

#### **General Conduct**

#### 1. Respect

#### As a councillor:

- 1.1 I treat other councillors and members of the public with respect.
- 1.2 I treat local authority employees, employees and representatives of partner organisations and those volunteering for the local authority with respect and respect the role they play.

Respect means politeness and courtesy in behaviour, speech, and in the written word. Debate and having different views are all part of a healthy democracy. As a councillor, you can express, challenge, criticise and disagree with views, ideas, opinions and policies in a robust but civil manner. You should not, however, subject individuals, groups of people or organisations to personal attack.

In your contact with the public, you should treat them politely and courteously. Rude and offensive behaviour lowers the public's expectations and confidence in councillors.

In return, you have a right to expect respectful behaviour from the public. If members of the public are being abusive, intimidatory or threatening you are entitled to stop any conversation or interaction in person or online and report them to the local authority, the relevant social media provider or the police. This also applies to fellow councillors, where action could then be taken under the Councillor Code of Conduct, and local authority employees, where concerns should be raised in line with the local authority's councillor-officer protocol.

#### 2. Bullying, harassment and discrimination

#### As a councillor:

- 2.1 I do not bully any person.
- 2.2 I do not harass any person.

## 2.3 I promote equalities and do not discriminate unlawfully against any person.

The Advisory, Conciliation and Arbitration Service (ACAS) characterises bullying as offensive, intimidating, malicious or insulting behaviour, an abuse or misuse of power through means that undermine, humiliate, denigrate or injure the recipient. Bullying might be a regular pattern of behaviour or a one-off incident, happen face-to-face, on social media, in emails or phone calls, happen in the workplace or at work social events and may not always be obvious or noticed by others.

The Protection from Harassment Act 1997 defines harassment as conduct that causes alarm or distress or puts people in fear of violence and must involve such conduct on at least two occasions. It can include repeated attempts to impose unwanted communications and contact upon a person in a manner that could be expected to cause distress or fear in any reasonable person.

Unlawful discrimination is where someone is treated unfairly because of a protected characteristic. Protected characteristics are specific aspects of a person's identity defined by the Equality Act 2010. They are age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief, sex and sexual orientation.

The Equality Act 2010 places specific duties on local authorities. Councillors have a central role to play in ensuring that equality issues are integral to the local authority's performance and strategic aims, and that there is a strong vision and public commitment to equality across public services.

#### 3. Impartiality of officers of the council

#### As a councillor:

3.1 I do not compromise, or attempt to compromise, the impartiality of anyone who works for, or on behalf of, the local authority.

Officers work for the local authority as a whole and must be politically neutral (unless they are political assistants). They should not be coerced or persuaded to act in a way that would undermine their neutrality. You can question officers in order to understand, for example, their reasons for proposing to act in a particular way, or the content of a report that they have written. However, you must not try and force them to act differently, change their advice, or alter the content of that report, if doing so would prejudice their professional integrity.

#### 4. Confidentiality and access to information

#### As a councillor:

- 4.1 I do not disclose information:
  - a. given to me in confidence by anyone
  - b. acquired by me which I believe, or ought reasonably to be aware, is of a confidential nature, unless
    - i. I have received the consent of a person authorised to give it;
    - ii. I am required by law to do so;
    - iii. the disclosure is made to a third party for the purpose of obtaining professional legal advice provided that the third party agrees not to disclose the information to any other person; or
    - iv. the disclosure is:
      - 1. reasonable and in the public interest; and
      - 2. made in good faith and in compliance with the reasonable requirements of the local authority; and
      - 3. I have consulted the Monitoring Officer prior to its release.
- 4.2 I do not improperly use knowledge gained solely as a result of my role as a councillor for the advancement of myself, my friends, my family members, my employer or my business interests.
- 4.3 I do not prevent anyone from getting information that they are entitled to by law.

Local authorities must work openly and transparently, and their proceedings and printed materials are open to the public, except in certain legally defined circumstances. You should work on this basis, but there will be times when it is required by law that discussions, documents and other information relating to or held by the local authority must be treated in a confidential manner. Examples include personal data relating to individuals or information relating to ongoing negotiations.

#### 5. Disrepute

#### As a councillor:

#### 5.1 I do not bring my role or local authority into disrepute.

As a Councillor, you are trusted to make decisions on behalf of your community and your actions and behaviour are subject to greater scrutiny than that of ordinary members of the public. You should be aware that your actions might have an adverse impact on you, other councillors and/or your local authority and may lower the public's confidence in your or your local authority's ability to discharge your/it's functions. For example, behaviour that is considered dishonest and/or deceitful can bring your local authority into disrepute.

You are able to hold the local authority and fellow councillors to account and are able to constructively challenge and express concern about decisions and processes undertaken by the council whilst continuing to adhere to other aspects of this Code of Conduct.

#### 6. Use of position

#### As a councillor:

6.1 I do not use, or attempt to use, my position improperly to the advantage or disadvantage of myself or anyone else.

Your position as a member of the local authority provides you with certain opportunities, responsibilities, and privileges, and you make choices all the time that will impact others. However, you should not take advantage of these opportunities to further your own or others' private interests or to disadvantage anyone unfairly.

#### 7. Use of local authority resources and facilities

#### As a councillor:

- 7.1 I do not misuse council resources.
- 7.2 I will, when using the resources of the local or authorising their use by others:
  - a. act in accordance with the local authority's requirements; and
  - b. ensure that such resources are not used for political purposes unless that use could reasonably be regarded as likely to facilitate, or be conducive to, the discharge of the functions of the local authority or of the office to which I have been elected or appointed.

You may be provided with resources and facilities by the local authority to assist you in carrying out your duties as a councillor.

#### Examples include:

- office support
- stationery
- equipment such as phones, and computers
- transport
- access and use of local authority buildings and rooms.

These are given to you to help you carry out your role as a councillor more effectively and are not to be used for business or personal gain. They should be used in accordance with the purpose for which they have been provided and the local authority's own policies regarding their use.

#### 8. Complying with the Code of Conduct

#### As a Councillor:

- 8.1 I undertake Code of Conduct training provided by my local authority.
- 8.2 I cooperate with any Code of Conduct investigation and/or determination.
- 8.3 I do not intimidate or attempt to intimidate any person who is likely to be involved with the administration of any investigation or proceedings.
- 8.4 I comply with any sanction imposed on me following a finding that I have breached the Code of Conduct.

It is extremely important for you as a councillor to demonstrate high standards, for you to have your actions open to scrutiny and for you not to undermine public trust in the local authority or its governance. If you do not understand or are concerned about the local authority's processes in handling a complaint you should raise this with your Monitoring Officer.

#### Protecting your reputation and the reputation of the local authority

#### 9. Interests

#### As a councillor:

#### 9.1 I register and disclose my interests.

Section 29 of the Localism Act 2011 requires the Monitoring Officer to establish and maintain a register of interests of members of the authority .

You need to register your interests so that the public, local authority employees and fellow councillors know which of your interests might give rise to a conflict of interest. The register is a public document that can be consulted when (or before) an issue arises. The register also protects you by allowing you to demonstrate openness and a willingness to be held accountable. You are personally responsible for deciding whether or not you should disclose an interest in a meeting, but it can be helpful for you to know early on if others think that a potential conflict might arise. It is also important that the public know about any interest that might have to be disclosed by you or other councillors when making or taking part in decisions, so that decision making is seen by the public as open and honest. This helps to ensure that public confidence in the integrity of local governance is maintained.

You should note that failure to register or disclose a disclosable pecuniary interest as set out in **Table 1**, is a criminal offence under the Localism Act 2011.

**Appendix B sets** out the detailed provisions on registering and disclosing interests. If in doubt, you should always seek advice from your Monitoring Officer.

#### 10. Gifts and hospitality

#### As a councillor:

- 10.1 I do not accept gifts or hospitality, irrespective of estimated value, which could give rise to real or substantive personal gain or a reasonable suspicion of influence on my part to show favour from persons seeking to acquire, develop or do business with the local authority or from persons who may apply to the local authority for any permission, licence or other significant advantage.
- 10.2 I register with the Monitoring Officer any gift or hospitality with an estimated value of at least £50 within 28 days of its receipt.
- 10.3 I register with the Monitoring Officer any significant gift or hospitality that I have been offered but have refused to accept.

In order to protect your position and the reputation of the local authority, you should exercise caution in accepting any gifts or hospitality which are (or which you reasonably believe to be) offered to you because you are a councillor. The presumption should always be not to accept significant gifts or hospitality. However, there may be times when such a refusal may be difficult if it is seen as rudeness in which case you could accept it but must ensure it is publicly registered. However, you do not need to register gifts and hospitality which are not related to your role as a councillor, such as Christmas gifts from your friends and family. It is also important to note that it is appropriate to accept normal expenses and hospitality associated with your duties as a councillor. If you are unsure, do contact your Monitoring Officer for guidance.

#### **Appendices**

#### Appendix A – The Seven Principles of Public Life

The principles are:

#### **Selflessness**

Holders of public office should act solely in terms of the public interest.

#### Integrity

Holders of public office must avoid placing themselves under any obligation to people or organisations that might try inappropriately to influence them in their work. They should not act or take decisions in order to gain financial or other material benefits for themselves, their family, or their friends. They must disclose and resolve any interests and relationships.

#### **Objectivity**

Holders of public office must act and take decisions impartially, fairly and on merit, using the best evidence and without discrimination or bias.

#### **Accountability**

Holders of public office are accountable to the public for their decisions and actions and must submit themselves to the scrutiny necessary to ensure this.

#### **Openness**

Holders of public office should act and take decisions in an open and transparent manner. Information should not be withheld from the public unless there are clear and lawful reasons for so doing.

#### **Honesty**

Holders of public office should be truthful.

#### Leadership

Holders of public office should exhibit these principles in their own behaviour. They should actively promote and robustly support the principles and be willing to challenge poor behaviour wherever it occurs.

#### **Appendix B Registering interests**

Within 28 days of becoming a member or your re-election or re-appointment to office you must register with the Monitoring Officer the interests which fall within the categories set out in **Table 1** (**Disclosable Pecuniary Interests**) which are as described in "The Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012". You should also register details of your other personal interests which fall within the categories set out in **Table 2** (**Other Registerable Interests**).

"Disclosable Pecuniary Interest" means an interest of yourself, or of your partner if you are aware of your partner's interest, within the descriptions set out in Table 1 below.

"Partner" means a spouse or civil partner, or a person with whom you are living as husband or wife, or a person with whom you are living as if you are civil partners.

- 1. You must ensure that your register of interests is kept up-to-date and within 28 days of becoming aware of any new interest, or of any change to a registered interest, notify the Monitoring Officer.
- 2. A 'sensitive interest' is as an interest which, if disclosed, could lead to the councillor, or a person connected with the councillor, being subject to violence or intimidation.
- 3. Where you have a 'sensitive interest' you must notify the Monitoring Officer with the reasons why you believe it is a sensitive interest. If the Monitoring Officer agrees they will withhold the interest from the public register.

#### Non participation in case of disclosable pecuniary interest

- 4. Where a matter arises at a meeting which directly relates to one of your Disclosable Pecuniary Interests as set out in **Table 1**, you must disclose the interest, not participate in any discussion or vote on the matter and must not remain in the room unless you have been granted a dispensation. If it is a 'sensitive interest', you do not have to disclose the nature of the interest, just that you have an interest. Dispensation may be granted in limited circumstances, to enable you to participate and vote on a matter in which you have a disclosable pecuniary interest.
- 5. Where you have a disclosable pecuniary interest on a matter to be considered or is being considered by you as a Cabinet member in exercise of your executive function, you must notify the Monitoring Officer of the interest and must not take any steps or further steps in the matter apart from arranging for someone else to deal with it

#### **Disclosure of Other Registerable Interests**

6. Where a matter arises at a meeting which *directly relates* to one of your Other Registerable Interests (as set out in **Table 2**), you must disclose the interest. You may speak on the matter only if members of the public are also allowed to speak at the meeting but otherwise must not take part in any discussion or vote on the matter and must not remain in the room unless you have been granted a dispensation. If it is a 'sensitive interest', you do not have to disclose the nature of the interest.

#### **Disclosure of Non-Registerable Interests**

- 7. Where a matter arises at a meeting which *directly relates* to your financial interest or well-being (and is not a Disclosable Pecuniary Interest set out in Table 1) or a financial interest or well-being of a relative or close associate, you must disclose the interest. You may speak on the matter only if members of the public are also allowed to speak at the meeting. Otherwise you must not take part in any discussion or vote on the matter and must not remain in the room unless you have been granted a dispensation. If it is a 'sensitive interest', you do not have to disclose the nature of the interest.
- 8. Where a matter arises at a meeting which *affects*
  - a. your own financial interest or well-being;
  - b. a financial interest or well-being of a relative, close associate; or
  - c. a body included in those you need to disclose under Other Registrable Interests as set out in **Table 2**

you must disclose the interest. In order to determine whether you can remain in the meeting after disclosing your interest the following test should be applied

- 9. Where a matter *affects* your financial interest or well-being:
  - a. to a greater extent than it affects the financial interests of the majority of inhabitants of the ward affected by the decision and;
  - b. a reasonable member of the public knowing all the facts would believe that it would affect your view of the wider public interest

You may speak on the matter only if members of the public are also allowed to speak at the meeting. Otherwise you must not take part in any discussion or vote on the matter and must not remain in the room unless you have been granted a dispensation.

If it is a 'sensitive interest', you do not have to disclose the nature of the interest.

10. Where you have a personal interest in any business of your authority and you have made an executive decision in relation to that business, you must make sure that any written statement of that decision records the existence and nature of your interest.

### **Table 1: Disclosable Pecuniary Interests**

This table sets out the explanation of Disclosable Pecuniary Interests as set out in the Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012.

Subject	Description
Employment, office, trade, profession or vocation	Any employment, office, trade, profession or vocation carried on for profit or gain.  [Any unpaid directorship.]
Sponsorship	Any payment or provision of any other financial benefit (other than from the council) made to the councillor during the previous 12-month period for expenses incurred by him/her in carrying out his/her duties as a councillor, or towards his/her election expenses.  This includes any payment or financial benefit from a trade union within the meaning of the Trade Union and Labour Relations (Consolidation) Act 1992.
Contracts	Any contract made between the councillor or his/her spouse or civil partner or the person with whom the

	councillor is living as if they were spouses/civil partners (or a firm in which such person is a partner, or an incorporated body of which such person is a director* or a body that such person has a beneficial interest in the securities of*) and the council—  (a) under which goods or services are to be provided or works are to be executed; and (b) which has not been fully discharged.
Land and Property	Any beneficial interest in land which is within the area of the council. 'Land' excludes an easement, servitude, interest or right in or over land which does not give the councillor or his/her spouse or civil partner or the person with whom the councillor is living as if they were spouses/civil partners (alone or jointly with another) a right to occupy or to receive income.
Licenses	Any licence (alone or jointly with others) to occupy land in the area of the council for a month or longer
Corporate tenancies	Any tenancy where (to the councillor's knowledge)—  (a) the landlord is the council; and (b) the tenant is a body that the councillor, or his/her spouse or civil partner or the person with whom the councillor is living as if they were spouses/ civil partners is a partner of or a director* of or has a beneficial interest in the securities* of.
Securities	Any beneficial interest in securities* of a body where—  (a) that body (to the councillor's knowledge) has a place of business or land in the area of the council; and (b) either—  (i) ) the total nominal value of the securities* exceeds £25,000 or one hundredth of the total issued share capital of that body; or  (ii) if the share capital of that body is of more than one class, the total nominal value of the shares of any one class in which the councillor, or his/ her spouse or civil partner or the person with whom the councillor is living as if they were

spouses/civil partners has a beneficial
interest exceeds one hundredth of the
total issued share capital of that class.

<sup>\* &#</sup>x27;director' includes a member of the committee of management of an industrial and provident society.

#### **Table 2: Other Registrable Interests**

You have a personal interest in any business of your authority where it relates to or is likely to affect:

- a) any body of which you are in general control or management and to which you are nominated or appointed by your authority
- b) any body
  - (i) exercising functions of a public nature
  - (ii) any body directed to charitable purposes or
  - (iii) one of whose principal purposes includes the influence of public opinion or policy (including any political party or trade union)

<sup>\* &#</sup>x27;securities' means shares, debentures, debenture stock, loan stock, bonds, units of a collective investment scheme within the meaning of the Financial Services and Markets Act 2000 and other securities of any description, other than money deposited with a building society.

#### Appendix C – the Committee on Standards in Public Life

The LGA has undertaken this review whilst the Government continues to consider the recommendations made by the Committee on Standards in Public Life in their report on <u>Local Government Ethical Standards</u>. If the Government chooses to implement any of the recommendations, this could require a change to this Code.

#### The recommendations cover:

- Recommendations for changes to the Localism Act 2011 to clarify in law when the Code of Conduct applies
- The introduction of sanctions
- An appeals process through the Local Government Ombudsman
- Changes to the Relevant Authorities (Disclosable Pecuniary Interests)
   Regulations 2012
- Updates to the Local Government Transparency Code
- Changes to the role and responsibilities of the Independent Person
- That the criminal offences in the Localism Act 2011 relating to Disclosable Pecuniary Interests should be abolished

The Local Government Ethical Standards report also includes Best Practice recommendations. These are:

**Best practice 1**: Local authorities should include prohibitions on bullying and harassment in codes of conduct. These should include a definition of bullying and harassment, supplemented with a list of examples of the sort of behaviour covered by such a definition.

**Best practice 2**: Councils should include provisions in their code of conduct requiring councillors to comply with any formal standards investigation and prohibiting trivial or malicious allegations by councillors.

**Best practice 3**: Principal authorities should review their code of conduct each year and regularly seek, where possible, the views of the public, community organisations and neighbouring authorities.

**Best practice 4**: An authority's code should be readily accessible to both councillors and the public, in a prominent position on a council's website and available in council premises.

**Best practice 5**: Local authorities should update their gifts and hospitality register at least once per quarter, and publish it in an accessible format, such as CSV.

**Best practice 6**: Councils should publish a clear and straightforward public interest test against which allegations are filtered.

**Best practice 7**: Local authorities should have access to at least two Independent Persons.

**Best practice 8**: An Independent Person should be consulted as to whether to undertake a formal investigation on an allegation, and should be given the option to

review and comment on allegations which the responsible officer is minded to dismiss as being without merit, vexatious, or trivial.

**Best practice 9**: Where a local authority makes a decision on an allegation of misconduct following a formal investigation, a decision notice should be published as soon as possible on its website, including a brief statement of facts, the provisions of the code engaged by the allegations, the view of the Independent Person, the reasoning of the decision-maker, and any sanction applied.

**Best practice 10**: A local authority should have straightforward and accessible guidance on its website on how to make a complaint under the code of conduct, the process for handling complaints, and estimated timescales for investigations and outcomes.

**Best practice 11:** Formal standards complaints about the conduct of a parish councillor towards a clerk should be made by the chair or by the parish council, rather than the clerk in all but exceptional circumstances.

**Best practice 12**: Monitoring Officers' roles should include providing advice, support and management of investigations and adjudications on alleged breaches to parish councils within the remit of the principal authority. They should be provided with adequate training, corporate support and resources to undertake this work.

**Best practice 13**: A local authority should have procedures in place to address any conflicts of interest when undertaking a standards investigation. Possible steps should include asking the Monitoring Officer from a different authority to undertake the investigation.

**Best practice 14**: Councils should report on separate bodies they have set up or which they own as part of their annual governance statement and give a full picture of their relationship with those bodies. Separate bodies created by local authorities should abide by the Nolan principle of openness and publish their board agendas and minutes and annual reports in an accessible place.

**Best practice 15**: Senior officers should meet regularly with political group leaders or group whips to discuss standards issues.

The LGA has committed to reviewing the Code on an annual basis to ensure it is still fit for purpose.





## LGA Model Member Code of Conduct Explanatory Note

#### 1. Introduction

1.1 The LGA have published their Model Code of Conduct following consultation with the sector. At the time of writing this explanatory note, the LGA have temporarily withdrawn the publication. A revised LLG note will be produced with a live link to the code of conduct once it is re-published.

#### 2. Consultation

2.2 An online questionnaire was available for 10 weeks between Monday 8 June until Monday 17 August. A total of 1,463 responses were received by the LGA including members, officers, councils, stakeholder organisations and individuals.

#### 3. Key Results from the Consultation

The LGA have published the following key results from the consultation responses they received.

- Almost all survey respondents (97 per cent) supported the proposal that
  councillors demonstrate the behaviours set out in the Code when they are
  publicly acting as, identifying as, and/or giving the impression that they are acting
  as a councillor, including when representing their council on official business and
  when using social media.
- Just over half of the survey respondents (55 per cent), thought that the code was sufficiently clear about which parts of the code are legal requirements, which are obligations, and which are guidance. A third (36 per cent) thought it was not clear while nine per cent did not know.
- Respondents were generally evenly split regarding their preference for the tense
  of the wording used in the code, however, there was slightly more support for the
  personal tense ("I will") which was chosen by 45 per cent over the passive tense
  ("Councillors should") (40 per cent).
- Almost all survey respondents supported each of the 12 specific obligations to
  either a great or a moderate extent. However, there was slightly less support for
  the obligation to register any gift or hospitality with an estimated value of at least
  £25 within 28 days of its receipt than the others 96 per cent compared to 99 to
  100 per cent.
- Four in five survey respondents (80 per cent) thought that the concept of 'acting with civility' is sufficiently clear to a great or moderate extent. A further 12 per cent thought it was clear to a small extent while just seven per cent did not think it was clear.
- A combined total of 85 per cent of survey respondents thought the concept of 'bringing the council into disrepute' is sufficiently clear to a great or moderate extent. Nine per cent thought it was clear to a small extent while five per cent did not think it was clear.
- Most survey respondents (93 per cent) supported the definition of bullying and harassment used in the code in a local government context to a great or moderate degree while four per cent did so to a small extent.



- Respondents were evenly split about whether there was sufficient reference to the use of social media in the code, however, a slightly higher proportion of respondents (43 per cent) said no, than those who answered yes (41 per cent).
- Over four in five survey respondents (81 per cent) were in support of the code going beyond the current requirement to declare interests of the councillor and their partner to a great or moderate degree. A further eight our per cent supported it to a small degree and another eight per cent did not support it at all.
- Almost all survey respondents (97 per cent) supported the proposed requirement that councillors do not accept significant gifts to a great or moderate degree. Two per cent supported it to a small degree while less than one per cent did not support it at all.
- Most survey respondents (72 per cent) supported £25 as the threshold for registering gifts and hospitality, although almost four in ten (39 per cent), felt that the amount should be reviewed annually with the code's review. Nine per cent of respondents thought that the threshold should be lower than £25 while 16 per cent felt that it should be higher.
- Respondents most commonly ranked explanatory guidance on the code as the
  most useful type of accompanying guidance, followed by supplementary
  guidance that focuses on specific areas, e.g., social media and case studies and
  examples of good practice.

#### 4. Key Points from the Final Member Code of Conduct

(Numbers in brackets refer to pagination in the code of conduct)

#### 4.1 Definitions

- 4.1.1 Councillor is defined as 'a member or co-opted member of a local authority or a directly elected mayor'.
- 4.1.2 A "co-opted member" is defined pursuant to the Localism Act 2011 Section 27(4)
- 4.1.3 Local Authority includes 'county councils, district councils, London borough councils, parish councils, town councils, fire and rescue authorities, police authorities, joint authorities, economic prosperity boards, combined authorities and National Park authorities'.
- 4.2 Tense
- 4.2.1 First person direct to Councillors.
- 4.3 Nolan Principles
- 4.3.1 Encompasses the Nolan principles. Also includes that 'public resources are used prudently in accordance with a local authority's requirements and in the public interest'.
- 4.3 Application of the Code
- 4.3.1 The Code applies to councillors when they are: -



- Acting in that capacity
- Representing the Council
- Claiming to act or represent
- Give the impression they are claiming to act or represent
- Refer publicly to their role
- Use knowledge obtained from their role
- 4.3.2 The Code specifically applies 'in electronic and social media communication, posts, statements and comments'.
- 4.4 Standards
- 4.4.1 The word **Respect** (1.1) has replaced Civility (which was originally suggested in the draft code).
- 4.5 Bullying, harassment and discrimination
- 4.5.1 There is a specific obligation to promote equalities and not to discriminate unlawfully against any person (2.3.1) with reference to the Equalities Act.
- 4.6 <u>Impartiality</u>
- 4.6.1 Councillors must not compromise, or attempt to compromise, the impartiality of anyone who works for, or on behalf of, the local authority (3.1)
- 4.7 <u>Confidentiality</u>
- 4.7.1 Councillors must not disclose information they have received which they believe or ought reasonably to be aware is of a confidential nature (4.1a&b).
- 4.7.2 Disclosure in the public interest and in good faith is allowed provided the councillor has consulted the Monitoring Officer prior to its release (4.1iv1,2&3)
- 4.8 Disrepute
- 4.8.1 Councillors must not bring their role or authority into disrepute ((5.1).
- 4.9 Use of Position
- 4.9.1 Councillors must not use their position improperly to their advantage or disadvantage (6.1).
- 4.10 Use of Council Resources
- 4.10.1 Councillors must ensure that resources are not used for political purposes unless that use could reasonably be regarded as likely to facilitate, or be conducive to, the discharge of the functions of the local authority or their office (7.2b).
- 4.11 Compliance
- 4.11.1 Councillors must commit to undertaking code of conduct training (8.1), corporate with investigations (8.2) and comply with sanctions (8.4).



#### 4.12 Reputation

4.12.1 Declarations of interest must be registered and declared (9.1). Detailed provisions are contained within Appendix B. The code states 'If in doubt, you should always seek advice from your Monitoring Officer'.

#### 4.13 Appendix B

4.13.1 Registration of interests required are those which fall within the categories set out in Table 1 (Disclosable Pecuniary Interests) and Table 2 (Other Registerable Interests) of the code.

#### 4.13.2 <u>Declaring Interests and Meeting Participation</u>

• Disclosable Pecuniary Interests: -

Councillors must declare, not participate, or vote and leave the room (subject to dispensation)

• Other Registrable Interests: -

Councillors must declare, only speak if the public are allowed to speak, not participate in the discussion or vote and leave the room (subject to dispensation).

 Non-Disclosable Pecuniary Interest Directly Relating to Finance or Well-Being of Councillors or Relative or Close Associate: -

Councillors must declare, only speak if the public are allowed to speak, not participate in the discussion or vote and leave the room (subject to dispensation).

#### 4.13.3 <u>Table 1- Pecuniary Interests</u>

Sets out an explanation of Pecuniary Interests and includes: -

- Employment or Trade
- Sponsorship
- Contracts (including partners lived with)
- Land or Property (including partners lived with)
- Licences
- Corporate Tenancies (including partners lived with)
- Securities (including partners lived with)

(Note a director includes a member of the committee of management of an industrial and provident society).

#### 4.13.4 <u>Table 2- Other Registerable Interests</u>

Sets out an explanation of Other Registrable Interests in respect of bodies to which councillors are appointed or nominated to by the council and includes: -

exercising functions of a public nature



- directed to charitable purposes; or
- one of whose principal purposes includes the influence of public opinion or policy (including any political party or trade union) of which you are a member or in a position of general control or management.

#### 4.14 Gifts & Hospitality

- 4.14.1 Councillors receiving gifts or hospitality with a value of £50 or more must register these with the Monitoring Officer within 28 days (10.2). Any significant gift or hospitality refused must also be registered with the Monitoring Officer (10.3) (note no timescale is placed against refused gifts).
- 4.15 The LGA has committed to reviewing the Code on an annual basis to ensure it is still fit for purpose.

#### 5. Committee on Standards In Public Life (CSPL) Recommendations

- 5.2 It is accepted that many of the recommendations made by the CSPL would require changes to the law. However, the following is noted: -
  - Despite the Draft Member Code of Conduct including a bar on attending committees for up to 2 months as a sanction, the published code contains no reference to a sanction of any kind.
  - Therefore, there is no power to suspend councillors, without allowances, for up to six months
  - There is no reference to a role for the Local Government Ombudsman
  - There is no discretionary power to establish a decision-making standards committee with voting independent members and voting members from dependent parishes
  - There is no mention of an independent person.
  - The code does not reference section 31 Localism Act 2011(but it gives a nod towards it)
  - Gifts and Hospitality has risen from the proposed £25 to £50 recommended by the CSPL
  - There is some support evidenced for a change to the authorities for Disclosable Pecuniary Interests but only where a member is nominated or appointed by the council
  - Broadly the Code encompasses the CSPL recommendation when a member is acting in an official capacity which includes reference to social media but this does require a change of legislation to engage presumption ((s27)(2) Localism Act 2011)



## Part 5a – Code of Conduct for Members

Effective from 8 July 2015 Approved by Council on 8 July 2015 Updated 8 July 2015

## 1. Principles

- 1.1 These principles underpin the Code of Conduct. Although they do not form part of the Code, it is expected that you will act with:
  - Selflessness
  - Honesty and integrity
  - Objectivity
  - Accountability
  - Openness
  - Respect for others
  - Commitment to uphold the law
  - Leadership

## 2. Application

- 2.1 This Code applies to you whenever you:
  - are acting in your capacity as a member or when you claim to act or give the impression you are acting in your capacity as a member
  - act as a representative of your authority on another body.

## 3. Sanctions

- 3.1 Failure to comply with the provisions of this Code may result in a sanction being imposed:
  - either by the Council (if it relates to the Code itself or a personal / personal prejudicial interest)
  - through criminal proceedings (if it relates to a Disclosable Pecuniary Interest which may result in a criminal conviction and a fine of up to £5,000 and/ or disqualification from office for a period of up to 5 years).

## 4. General obligations of the Code

#### You must:

- 4.1 Treat others with respect.
- 4.2 When using or authorising the use by others of the authority's resources, do so in accordance with the authority's requirements and ensure that resources are not used improperly (including political purposes).

- 4.3 Have regard to any applicable Local Authority Code of Publicity made under the Local Government Act 1986.
- 4.4 Have regard, when reaching decisions, to advice provided to you by the authority's Chief Finance Officer or Monitoring Officer when they are acting in accordance with their statutory duties.
- 4.5 Give reasons for all decisions in accordance with any statutory requirements and any additional requirements of the authority.
- 4.6 Not do anything which may cause your authority to breach the Equality Act 2010 (or subsequent legislation)
- 4.7 Not bully any person.
- 4.8 Not intimidate or attempt to intimidate any person who is or is likely to be:
  - a complainant
  - a witness
  - involved in the administration of any investigation or proceedings, in relation to an allegation that a member (including yourself) has failed to comply with the code of conduct.
- 4.9 Not do anything which compromises or is likely to compromise the impartiality of those who work for, or on behalf of, the authority.
- 4.10 Not disclose confidential information except where:
  - you have the consent of a person authorised to give it
  - you are required by law to do so
  - the disclosure is made to a third party for the purpose of obtaining professional advice provided that the third party agrees not to disclose the information to any other person
  - the disclosure is reasonable, in the public interest, made in good faith and in compliance with the reasonable requirements of the authority
- 4.11 Not prevent another person from gaining access to information to which they are entitled by law.
- 4.12 Not conduct yourself in a manner which could reasonably be regarded as bringing your office or authority into disrepute.
- 4.13 Not use or attempt to use your position as a member improperly to gain for yourself or any other person, an advantage or disadvantage.

## 5. Disclosable Pecuniary Interests (DPI)

- 5.1 This part explains the statutory requirements of the Localism Act 2011 (Sections 29- 34) in relation to disclosable pecuniary interests (DPIs). These are enforced by criminal sanction.
- 5.2 A **disclosable pecuniary interest** is an interest of yourself or your partner (which means spouse or civil partner, a person with whom you are living as husband or wife, or a person with whom you are living as if you are civil partners) of the descriptions set out at Appendix 1.
- 5.3 You must:

- (i) Notify the Monitoring Officer in writing within 28 days of becoming a member, or within 28 days of any change or becoming aware of any existence of a DPI.
- (ii) Make a verbal declaration (at the beginning or as soon as you become aware of your interest) of the existence and nature of any DPI at any meeting at which you are present where an item of business which affects or relates to the subject matter of the interest is under consideration.
- (iii) Comply with the statutory requirements to withdraw from participating in respect of any matter in which you have a DPI and comply with the Council's Procedure Rules by leaving the room
- (iv) Cease further participation in the item (where acting alone outside of a meeting). This includes where an executive member makes an individual decision and becomes aware of a disclosable pecuniary interest in a matter being dealt with or to be dealt with by her/him, the executive member must notify the Monitoring Officer of the interest and must not take any steps or further steps in the matter.

## 6. Dispensations

6.1 The Standards Committee may grant you a dispensation, but only in limited circumstances, to enable you to participate and vote on a matter in which you have a disclosable pecuniary interest.

### 7. Offences

- 7.1 It is a criminal offence to:
  - fail to notify the Monitoring Officer of any disclosable pecuniary interest within 28 days of election
  - fail to disclose a disclosable pecuniary interest at a meeting if it is not on the Register
  - fail to notify the Monitoring Officer within 28 days of a disclosable pecuniary interest that is not on the register that you have disclosed to a meeting
  - participate in any discussion or vote on a matter in which you have a disclosable pecuniary interest
  - as an executive member discharging a function acting alone, and having a disclosable pecuniary interest in such a matter, failing to notify the Monitoring Officer within 28 days of the interest
  - knowingly or recklessly providing information that is false or misleading in notifying the Monitoring Officer of a disclosable pecuniary interest or in disclosing such interest to a meeting.
- 7.2 The criminal penalties available to a court are to impose a fine not exceeding level 5 on the standard scale and disqualification from being a councillor for up to 5 years.

## 8. Personal Interests

- 8.1 You have a **personal interest** where:
  - (i) A decision in relation to that business might reasonably be regarded as affecting your well-being or financial position or the well-being or financial position of a member of your family or any person with whom you have a close association

- (ii) You are a member of any body or in a position of general control or management and to which you are appointed or nominated by your authority
- (iii) You are a member of any body (other than another local authority) exercising functions of a public nature, any body directed to charitable purposes or any body one of whose principal purposes includes the influence of public opinion or policy (including any political party or trade union), of which you are a member or in a position of general control or management.

#### 8.2 You must:

- (i) Notify the Monitoring Officer in writing within 28 days of becoming a member, or within 28 days of any change or becoming aware of any existence of a personal interest set out in paragraph 8.1 (ii) and (iii) above.
- (ii) Make a verbal declaration (at the beginning, or as soon as you become aware of your interest) of the existence and nature of any personal interest at any meeting at which you are present at which an item of business which affects or relates to the subject matter of the interest is under consideration.

## 9. Prejudicial interests

- 9.1 Your personal interests would become **prejudicial** in the following instances:
  - (i) Where a member of the public with knowledge of the relevant facts would reasonably regard as so significant that it is likely to prejudice your judgment of the public interest AND where that business:
    - (a) affects your financial position or the financial position of a person or body through whom the interest arises or
    - (b) relates to the determining of any approval, consent, licence, permission or registration in relation to you or any person through whom the interest arises.

#### 9.2 You must:

- (i) Make a verbal declaration (at the beginning, or as soon as you become aware of your interest) of the existence and nature of any prejudicial interest at any meeting at which you are present at which an item of business which affects or relates to the subject matter of the interest is under consideration.
- (ii) Comply with the Council's Procedure Rules by withdrawing from any discussion of the matter at the meeting, and you may not participate in any vote taken on the matter at the meeting.
- (iii) Cease further participation in the item (where acting alone outside of a meeting). This includes where an executive member makes an individual decision and becomes aware of prejudicial interest in a matter being dealt with or to be dealt with by her/him, the executive member must notify the Monitoring Officer of the interest and must not take any steps or further steps in the matter.
- 9.3 Where you have a prejudicial interest you may attend a meeting but only for the purpose of making representations, answering questions or giving evidence relating to the business, provided that the public are also allowed to attend the meeting for the same purpose, whether under a statutory right or otherwise, and provided that you leave the room where the meeting is held immediately after making representations, answering questions or giving evidence.

## 10. General dispensations

- 10.1 You may attend a meeting and vote on a matter where you have an interest that relates to the functions of the authority relating to:
  - (i) housing, where you are a tenant of your authority provided that those functions do not relate particularly to your tenancy or lease
  - (ii) an allowance, payment or indemnity given to members
  - (iii) any ceremonial honour given to members
  - (iv) setting council tax or a precept under the Local Government Finance Act 1992.
  - (v) Another local authority

## 11. Registering interests, gifts and hospitality

- 11.1 Any interests notified to the Monitoring Officer will be included in the register of interests. A copy of the register will be available for public inspection and will be published on the Council's website.
- 11.2 You must also register details of any gift or hospitality with an estimated value of at least £25 that you have received in connection with your role as a member of the Council and details of the donor.

### 12. Sensitive interests

12.1 Where you consider that disclosure of the details of a personal or prejudicial interest could lead to you, or a person connected with you, being subject to violence or intimidation, and the Monitoring Officer agrees, the register will exclude details of the interest, but may state that you have an interest, the details of which are withheld.

# Appendix 1 – Categories of Disclosable Pecuniary Interests (DPIs)

Subject	Prescribed description
Employment, office, trade, profession or vocation	Any employment, office, trade, profession or vocation carried on for profit or gain
Sponsorship	Any payment or provision of any other financial benefit (other than from the relevant authority) made or provided within the relevant period in respect of any expenses incurred by you in carrying out duties as a member, or towards your election expenses.  This includes any payment or financial benefit from a trade union within the meaning of the Trade Union and Labour Relations (Consolidation) Act 1992.
Contracts	<ul> <li>Any contract which is made between the relevant person (or a body in which the relevant person has a beneficial interest) and the relevant authority -</li> <li>(a) under which goods or services are to be provided or works are to be executed; and</li> <li>(b) which has not been fully discharged.</li> </ul>
Land	Any beneficial interest in land which is within the area of the relevant authority.
Licences	Any licence (alone or jointly with others) to occupy land in the area of the relevant authority for a month or longer.
Corporate tenancies	<ul> <li>Any tenancy where (to your knowledge) –</li> <li>(a) the landlord is the relevant authority; and</li> <li>(b) the tenant is a body in which the relevant person has a beneficial interest.</li> </ul>
Securities	<ul> <li>Any beneficial interest in securities of a body where</li> <li>(a) that body (to your knowledge) has a place of business or land in the area of the relevant authority; and</li> <li>(b) either – <ul> <li>(i) the total nominal value of the securities exceeds £25,000 or one hundredth of the total issued share capital of that body; or</li> <li>(j) if that share capital of that body is of more than one class, the total nominal value of the shares of any one class in which the relevant person has a beneficial interest exceeds one hundredth of the total issued share capital of that class.</li> </ul> </li> </ul>